

Vaststelling subsidieplafond en twee beleidsvoornemens voor subsidiëring op grond van de Subsidieregeling Ministerie van Buitenlandse Zaken

Besluit van de Minister voor Ontwikkelingssamenwerking van 19 december 2005, nr. DCO/OO-375/05, tot vaststelling van een subsidieplafond en twee beleidsvoornemens voor subsidiëring op grond van de Subsidieregeling Ministerie van Buitenlandse Zaken

De Minister voor Ontwikkelingssamenwerking,
Gelet op de artikelen 1.1.6, 1.1.10, 2.4.6, 2.4.11 en 2.4.12 van de Subsidieregeling Ministerie van Buitenlandse Zaken¹;

Besluit:

Artikel 1

Voor subsidieverlening op grond van artikelen 2.4.6, 2.4.11, onder c, en 2.4.12, onder b en c, van de Subsidieregeling Ministerie van Buitenlandse Zaken geldt voor de periode 1 januari 2006 tot en met 31 mei 2006 voor het Programma voor institutionele versterking van post-secundaire onderwijs- en trainingscapaciteit (NPT) en de beurzenprogramma's voor bijscholing van professioneel middenkader gericht op capaciteitsopbouw van organisaties in ontwikkelingslanden (NFP), exclusief uitvoeringskosten, het volgende subsidieplafond:
€ 40.000.000.

Artikel 2

Ten aanzien van de in artikel 1 genoemde programma's gelden voor de periode van 1 januari 2006 tot en met 31 december 2006 de als respectievelijk bijlage 1 en 2 bij dit besluit gevoegde beleidsvoornemens.

Artikel 3

Dit besluit treedt in werking met ingang van de tweede dag na de dagtekening van de Staatscourant waarin het geplaatst wordt.

Dit besluit zal met bijlagen in de Staatscourant worden geplaatst.

*De Minister voor
Ontwikkelingssamenwerking,
namens deze:
de Directeur-Generaal Internationale
Samenwerking,
R.J. Treffers.*

¹ Stert. 1998, nr. 249; laatstelijk gewijzigd bij ministerieel besluit van 2 maart 2005 (Stert. 2005, 47).

Bijlage 1

Beleidsvoornemen inzake het Programma voor institutionele versterking van postsecundaire onderwijs- en trainingscapaciteit (NPT)

Doelstelling

De Nederlandse regering acht het belangrijk dat er een programma bestaat voor samenwerkingsprojecten ten behoeve van de duurzame versterking van post-secundaire opleidingscapaciteit in ontwikkelingslanden, waardoor deze landen beter in staat zullen zijn zelf (op de wat langere termijn) in de benodigde opleidingen en menskracht te voorzien. Het NPT voorziet hierin.

Het programma is gericht op capaciteit die van belang is voor de bilaterale samenwerkingssectoren, en op sectordoorrijdende dan wel -overstijgende terreinen. Daarnaast is steun aan de post-secundaire onderwijs sector in meer algemene zin mogelijk.

Landenlijst

Het programma zal zich in aansluiting op het Nederlandse bilaterale beleid beperken tot de groep van 36 partnerlanden waarmee Nederland meerjarig samenwerkt; voorts zal het programma worden uitgevoerd in 15 geselecteerde landen. (met * gemarkeerd op de annex).

Vraagidentificatie en doelgroep

Vraaggerichtheid en ownership staan centraal. De ontwikkelingslanden zullen zelf aangeven waar hun prioritaire behoeften voor ondersteuning van post-secundaire onderwijs- en trainingscapaciteit liggen. Lokale 'stakeholder' overlegstructuren zullen een cruciale rol spelen bij de vraagidentificatie en nationale prioriteitstelling. Zij zullen aangeven op welke sectoren en/of sectordoorrijdende dan wel overstijgende terreinen het programma zich in het land zal richten. Dit wordt neergelegd in een globaal plan van aanpak voor NPT-interventies, waarin onder andere wordt aangegeven welke organisaties vanuit het programma ondersteund zullen worden. Dat hoeft niet beperkt te blijven tot opleidingsinstituten. Ook andere typen organisaties die een belangrijke rol spelen bij de ontwikkeling van post-secundair onderwijs en training (ministeries, nationale commissies, NGO's) komen in aanmerking.

Vraag-aanbod koppeling

De te ondersteunen organisaties zullen in de projecten samenwerken met Nederlandse organisaties, die de technische expertise leveren. Daartoe zal uit het gehele in Nederland aanwezige aanbod geput kunnen worden. Teneinde op een zo transparant en objectief mogelijke wijze het meest geschikte aanbod bij de vraag te kunnen vinden, wordt voor subsidies die meer dan € 50.000 bedragen een tenderprocedure gehanteerd.

Uitvoering en beheer

De uitvoering van het programma is in 2002 door de Minister voor een periode van 4 jaar uitbesteed aan de stichting Nuffic. De Nuffic zal het beheer over het programma voeren en, in nauwe samenwerking met de ambassades, een belangrijke faciliterende rol vervullen bij de vraagidentificatie en vraag-aanbod koppeling. Verder zal de Nuffic namens de Minister voor Ontwikkelingssamenwerking subsidies verlenen voor de uitvoering van de projecten.

Verdeling van middelen

De Nuffic zal per deelnemend land een globale budgetindicatie geven. De budgetindicaties worden afhankelijk van de vraag nader ingevuld. Het streven is minimaal 50% van de programmamiddelen aan projecten in sub-Sahara Afrika te besteden.

Annex: Landenlijst NPT

1. Benin
2. Colombia
3. Eritrea
4. Ethiopië
5. Ghana
6. Guatemala
7. Indonesië
8. Jemen
9. Mozambique
10. Rwanda
11. Tanzania
12. Oeganda
13. Vietnam
14. Zambia
15. Zuid Afrika

Bijlage 2

Beleidsvoornemen inzake het beurzenprogramma voor opleidingen met een academische graad, van één tot enkele jaren (NFP-AP) en het beurzenprogramma voor korte opleidingen en (tailor-made) trainingen, van maximaal 1 jaar (NFP-TP)

Doelstelling en doelgroep

De Nederlandse regering acht het belangrijk dat er beurzenprogramma's bestaan die mensen uit ontwikkelingslanden in staat stellen deel te nemen aan academische graadverlenende (Masters, PhD) opleidingen van een tot enkele jaren en aan diplomacursussen en tailor-made groepstrainingen, van maximaal 1 jaar, die geheel of gedeeltelijk door Nederlandse organisaties worden verzorgd. Deze programma's voorzien hierin. De programma's concentreren zich op het tegemoetkomen aan behoeftes aan bijscholing op de korte termijn, gericht op capaciteitsopbouw in een breed spectrum van overheids-, privé- en niet-gouvernementele organisaties (onderwijsinstellingen, planningsinstellingen, ministeries, basisorganisaties, bedrijven etcetera). De doelgroep bestaat uit personen die reeds afgestudeerd en werkzaam zijn. Zij dienen door hun werkgever te worden voorgedragen voor deelname aan een van de opleidingen.

De programma's zijn breed inzetbaar en niet beperkt tot de bilaterale OS-samenwerkingsterreinen.

De programma's bieden ook mogelijkheden voor financiering van zogenaamde *refresher courses* ter oprissing van 'verouderde' kennis die alumni bij eerdere door Nederlandse organisaties aangeboden opleidingen hebben opgedaan. Refresher courses vergroten de impact en de duurzaamheid van de eerder gevolgde opleiding.

Landenlijst

Het programma staat open voor 57 landen. (zie de annex).

Vraagidentificatie

Ter vergroting van de impact van de beurzen op capaciteitsopbouw wordt de beursverlening gekoppeld aan de institutionele ontwikkeling van organisaties in ontwikkelingslanden. Beurzen zullen weliswaar op individuele basis worden verstrekt maar de individuele opleidingsbehoefte van kandidaten dient ingebed te zijn binnen de institutionele ontwikkeling van de lokale organisaties waarvoor zij werkzaam zijn. Dat kunnen opleidingsinstellingen zijn, maar ook overheidsdiensten, midden- en klein bedrijf, NGO's, etcetera. Vraaggerichtheid staat centraal. Vooral nog kunnen kandidaten uit alle 57 landen zich individueel aanmelden. Daarnaast wordt, om te beginnen in 11 landen, een nieuwe vorm van vraagidentificatie ingevoerd. Daarbij

worden op nationaal niveau organisaties geïdentificeerd waarmee meerjarenafspraken gemaakt worden en die hun stafleden voor kunnen dragen voor deelname aan een opleiding. Afhankelijk van de ervaringen kan het aantal landen in een later stadium uitgebreid worden.

Wat betreft *tailor-made trainingen* komen alleen bijscholingsverzoeken afkomstig van een (groep van) lokale organisatie(s) in aanmerking. Deze verzoeken dienen bij de Nederlandse ambassades ingediend te worden.

Aanvragen voor *refresher courses* kunnen vanuit ontwikkelingslanden maar ook vanuit de Nederlandse organisaties ingediend worden.

Opleidingenaanbod

Om zo breed mogelijk tegemoet te kunnen komen aan de vraag worden binnen dit programma beurzen verstrekt voor een groot deel van de door Nederlandse organisaties aangeboden opleidingen. Voor het NFP-TP kunnen dat zijn internationale cursussen waaraan geen graad is verbonden (bij diplomacursussen of modules van Mastersopleidingen) maar ook tailor-made trainingen die nog ontwikkeld moeten worden. Voor het NFP-AP zijn dat post-graduate Masters en PhD opleidingen. Wat de bestaande cursussen betreft moet het aanbod aan bepaalde minimumeisen voldoen om opgenomen te worden in een voor het programma samen te stellen opleidingenlijst. Deze minimumeisen (zoals formele erkenning opleidingsinstituut en opleiding, OS-relevantie en toepassingsgerichtheid van de opleiding, faciliteiten voor buitenlandse studenten, etcetera) zijn vastgesteld door de Minister. Om adequaat en flexibel op nieuwe ontwikkelingen in te kunnen spelen zal de opleidingenlijst elk jaar opnieuw worden vastgesteld.

De *tailor-made trainingen* en *refresher courses* maken geen onderdeel van deze lijst uit. De in te schakelen Nederlandse expertise hoeft zich niet te beperken tot formele onderwijsinstellingen. Ook andere kenniscentra zoals onderzoeksinstituten en trainingsinstellingen kunnen hun korte opleidingen aanbieden of ingeschakeld worden voor het verzorgen van een tailor-made training.

Selectie van beursaanvragen en vraag-aanbod koppeling

Wat betreft de selectie van beursaanvragen voor de *academische graadverlenende opleidingen* en de *korte diplomacursussen* wordt de verdeling van beurzen over de verschillende opleidingen gerelateerd aan het totaal van de gekwalificeerde aanvragen en aan de mate waarin de opleidingen een 'studie in de regio'-component bevatten. Bij de selectie van kandidaten wordt voorkeur gegeven aan zich kwalificerende kandidaten afkomstig van geïdentificeerde partnerorganisaties in de 10 landen.

Voor nog te ontwikkelen *tailor-made trainingen* zal uit het gehele in Nederland aanwezige aanbod geput kunnen worden. Teneinde op een zo transparant en objectief mogelijke wijze het meest geschikte aanbod bij de vraag te kunnen vinden, wordt voor subsidies die meer bedragen dan € 50.000 een tenderprocedure gehanteerd.

De administratieve en logistieke ondersteuning van de beursverlening wordt in principe door de Nederlandse instelling verleend.

Uitvoering en beheer

De uitvoering van het programma is in 2002 door de Minister voor een periode van 4 jaar uitbesteed aan de stichting Nuffic. De Nuffic zal het beheer over het programma voeren en, in nauwe samenwerking met de ambassades, een belangrijke rol vervullen bij de vraagidentificatie, bij de bekendstelling van het aanbod, bij de vraag-aanbod koppeling en bij de externe monitoring en evaluatie van de tailor-made trainingen. Verder zal de Nuffic namens de Minister voor Ontwikkelingssamenwerking subsidies (in de vorm van beurzen) verlenen aan Nederlandse organisaties voor deelname van geselecteerde beursaanvragers aan de desbetreffende opleiding of voor het opzetten en uitvoeren van een tailor-made training.

Verdeling van middelen

Er zal geen sprake zijn van landenallocaties vooraf of van een verdeling van fondsen vooraf over de organisaties in de 11 landen waarmee meerjarenafspraken worden gemaakt. Deze afspraken zullen wel een indicatie bevatten. De Nuffic zal in de financiële planning op programmaniveau rekening houden met de meerjarenafspraken die met de zuidelijke organisaties worden gemaakt.

Het streven is minimaal 50% van de programmamiddelen aan bursalen afkomstig uit sub-Sahara Afrika te besteden en minimaal 50% van de beurzen aan vrouwen te verlenen.

Annex: Landenlijst

Landenlijst NFP (beurzenprogramma's voor opleidingen met een academische graad, van één tot enkele jaren, en voor korte opleidingen en (tailor-made) trainingen, van maximaal 1 jaar)

1. Afghanistan
2. Albanië
3. Armenië
4. Bangladesh
5. Benin
6. Bhutan
7. Bolivia
8. Bosnië-Herzegovina
9. Brazilië
10. Burkina Faso
11. Cambodja
12. China
13. Colombia
14. Costa Rica
15. Cuba

16. Ecuador*
17. Egypte*
18. El Salvador
19. Eritrea
20. Ethiopië*
21. Filippijnen
22. Georgië
23. Ghana
24. Guatemala*
25. Guinee Bissau
26. Honduras
27. India
28. Indonesië
29. Iran
30. Ivoorkust
31. Jemen*
32. Jordanië
33. Kaapverdië
34. Kenia
35. Macedonië
36. Mali
37. Moldavië

38. Mongolië
39. Mozambique
40. Namibië
41. Nepal
42. Nicaragua
43. Nigeria
44. Oeganda*
45. Pakistan
46. Palestijnse autoriteit
47. Peru*
48. Rwanda*
49. Senegal
50. Sri Lanka
51. Suriname
52. Tanzania*
53. Thailand
54. Vietnam*
55. Zambia
56. Zimbabwe
57. Zuid-Afrika*

* landen waarin meerjaren afspraken worden gemaakt

Verlenging werkingsduur beleidsregels subsidiëring Subsidieregeling Ministerie van Buitenlandse Zaken 2006 (NPT en NFP)

Besluit van de Minister voor Ontwikkelingssamenwerking van 15 december 2006, nr. DCO/OO-399/06, tot verlenging van de werkingsduur van beleidsregels voor subsidiëring op grond van de Subsidieregeling Ministerie van Buitenlandse Zaken 2006 (NPT en NFP)

De Minister voor Ontwikkelingssamenwerking,

Gelet op de artikelen 6, 7, derde lid, en 10 van het Subsidiebesluit Ministerie van Buitenlandse Zaken¹;

Gelet op de artikelen 6.1, 6.4 en 6.5 van de Subsidieregeling Ministerie van Buitenlandse Zaken 2006²;

Besluit:

Artikel 1

Voor subsidieverlening op grond van de artikelen 6.1, 6.4 en 6.5 van de Subsidieregeling Ministerie van Buitenlandse

Zaken 2006 zijn in aanvulling op het bij besluit van 22 februari 2006, nr. DJZ/BR/0201-2006³ bepaalde voor het tijdvak 1 januari 2007 tot en met 31 december 2007 de beleidsregels, vastgesteld bij besluit van de Minister voor Ontwikkelingssamenwerking van 19 december 2005, nr. DCO/OO-375/05⁴ van toepassing en geldt het volgende subsidieplafond: € 69.000.000.

Artikel 2

Dit besluit treedt in werking met ingang van de tweede dag na de dagtekening van de Staatscourant waarin het wordt geplaatst en vervalt met ingang van 1 januari 2008.

Dit besluit zal in de Staatscourant worden geplaatst.

*De Minister voor Ontwikkelingssamenwerking, namens deze:
de Directeur-Generaal Internationale Samenwerking,
R.J. Treffers.*

¹ Stb. 2005, 137.

² Stcn. 2005, 251.

³ Stcn. 2006, 47.

⁴ Stcn. 2005, 250.

Wijziging mandatering bevoegdheden uitvoering programma's internationaal onderwijs

Besluit van de Minister voor Ontwikkelingssamenwerking van 23 februari 2006, nr. DJZ/BR/125-06, tot wijziging van de mandatering bevoegdheden inzake uitvoering programma's internationaal onderwijs

De Minister voor Ontwikkelingssamenwerking,
Gelet op de artikelen 6.1, 6.4 en 6.5 van de Subsidieregeling Ministerie van Buitenlandse Zaken 2006 en afdeling 10.1.1 van de Algemene wet bestuursrecht;

Besluit:

Artikel I
Het Besluit van de Staatssecretaris voor Ontwikkelingssamenwerking van 3 december 2002, nr. DCO/OO-360/02¹, wordt als volgt gewijzigd:

Artikel I, eerste lid, komt als volgt te luiden:

1. Aan de voorzitter van de Stichting Nuffic (Nederlandse Organisatie voor internationale samenwerking in het Hoger Onderwijs en Onderzoek) wordt mandaat verleend om namens de Minister:

a. besluiten te nemen inzake subsidieverstrekking op grond van de artikelen 6.1, 6.4 en 6.5 van de Subsidieregeling Ministerie van Buitenlandse Zaken 2006², overeenkomstig het besluit van de Minister voor Ontwikkelingssamenwerking van 19 december 2005, DCO/OO/375-05, tot vaststelling van een subsidieplafond en twee beleidsvoornemens voor subsidiering op grond van de Subsidieregeling Ministerie van Buitenlandse Zaken³ en

b. te beslissen op bezwaarschriften tegen besluiten als bedoeld onder a.

Artikel II

Deze regeling treedt in werking met ingang van de tweede dag na de dagtekening van de Staatscourant waarin zij wordt geplaatst en werkt terug tot en met 1 januari 2006.

Deze regeling zal in de Staatscourant worden geplaatst.

*De Minister voor
Ontwikkelingssamenwerking,
A.M.A. van Ardenne-van der Hoeven.*

¹ Stcrt. 2002, 235.

² Stcrt. 2005, 251.

³ Stcrt. 2005, 250.



Ministerie van
Buitenlandse Zaken

Ministerie van
Buitenlandse Zaken

The Netherlands Programme for Institutional Strengthening of Post-secondary Education and Training Capacity (NPT)

Basic characteristics of the programme

Countries participating in the NPT programme

Identification of demand

Matching demand and supply

Award of the grant and approval of the final project plan

Selection of notable NPT projects

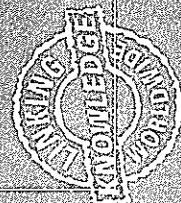


Netherlands Embassy
Cooperation in Higher Education

Nuffic is a non-profit, professional organization aimed at making education accessible all over the world, especially in countries where educational infrastructure is lagging behind. Education is crucial for fostering global socio-economic development, improving the quality of life, and increasing intercultural understanding. Nuffic strives to be the intermediary between the education community of the Netherlands and the international community.

Nuffic's main areas of activity are:
- Development cooperation
- Internationalization
- International (potential) evaluation
- International marketing of Dutch higher education

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Basic characteristics of the programme	3
Countries participating in the NPT programme	4
Identification of demand	5
Matching demand and supply	6
Award of the grant and approval of the final project plan	7
Further information	8
Selection of notable NPT projects	9

'Institutional Strengthening of Training Capacity of the Department of Hydrology and the Department of Environment at Hanoi and Ho Chi Minh City Hydro-Meteorological Colleges'

Country	Implementing organizations	Partner organization
Vietnam	Ministry of Natural Resources and Environment (MoNRE), plus the two colleges mentioned above	Consortium led by Saxon Universities of Professional Education

The overall objective of this project is to contribute to water resource conservation in the Vietnamese context. In concrete terms this will be achieved through the education and training of capable experts in hydrology, meteorological services and environmental protection. The responsible Ministry of Natural Resources and Environment (MoNRE) has identified the Hanoi Hydro-Meteorological College (HN-HMC) and the Ho Chi Minh City Hydro-Meteorological College (HCM-HMC) as implementers of the project.

The project will strengthen the education and training capacity of both institutions.

'Developing an ICT Policy for the Yemen Higher Education System and ICT Master Plans for ten (10) institutions of Higher Learning'

Country	Lead implementing organization	Partner organization
Yemen	Ministry of Higher Education and Scientific Research (MoHESR)	Consortium led by Delft University of Technology

The project contributes to the foundation of long-term planning, resource allocation and decision-making in ICT in Yemen's higher education sector. In the first place, the aim is to produce a comprehensive ICT policy for the entire higher education sector in Yemen. The project team will then work on developing master plans for implementing ICT in seven Yemeni universities and three community colleges. A third aspect of the project is to formulate investment plans for the application of ICT in the ten institutions.

Countries participating in the NPT programme

Dutch development cooperation is focused on a limited number of countries. The countries that participate in the NPT have been selected by the Dutch Ministry of Foreign Affairs from among the countries with which the Netherlands has multi-year cooperation arrangements.

The NPT programme is currently being implemented in the following 15 countries:

Benin	Colombia
Eritrea	Ethiopia
Guatemala	Ghana
Indonesia	Mozambique
Rwanda	South Africa
Tanzania	Uganda
Vietnam	Yemen
Zambia	

Selection of notable NPT projects

Below you will find the titles of and basic information on eight NPT projects that have already started.

'Ethiopia Quality and Relevance Assurance Agency (QRAA)'

Country	Implementing organization	Partner organization
Ethiopia	Ministry of Education, Department for Higher Education	Vrije Universiteit Amsterdam/CIS

The project assists the Ethiopian Ministry of Education in establishing and strengthening a national quality and relevance assurance agency for the higher education sector. The QRAA will help the associated higher education institutions with the development and implementation of policies and strategies that will improve the quality of the structure of higher learning. Countrywide, the Agency will introduce new educational standards and see to it that they are sustained after accreditation. The Agency will also classify degrees, diplomas and certificates, including those awarded by foreign higher education institutions.

'Strengthening Agricultural Education, Engineering and Training at the Wa, Ho and Bolgatanga Polytechnics'

Country	Implementing organizations	Partner organizations
Ghana	The three polytechnics mentioned in project title	Consortium led by DIV Agriconsult BV

The Agricultural Engineering Departments of the Wa, Ho, and Bolgatanga polytechnics plan to upgrade their institutes and improve the relevance of the courses which they offer. The three organizations are located in poor, rural agricultural regions, but enrolment on their agricultural engineering courses is low. The project will train staff of the three polytechnics in the design and implementation of demand-oriented courses and curricula. Concrete project outputs are well-trained specialists equipped to help farmers improve their agricultural productivity in a sustainable way.

Further information

For the latest information about the programme, procedures and projects, please see the Nuffic NPT website at www.nuffic.nl/npt. This website is regularly updated.

Four times a year Nuffic publishes the *NFP-NPT Newsletter*, which is distributed through the Royal Netherlands Embassies and can also be downloaded from the Nuffic website. The Newsletter contains information about ongoing projects, country reports and it announces deadlines and other important dates. The publication can also be used for issuing notices.

Frequently asked questions regarding the NPT (FAQs)

The NPT website offers answers to the most commonly asked questions. They are grouped in

- Identification
- Grants
- Tender procedure

Identification of demand

Demand is identified as much as possible on the basis of policy plans that already exist in the countries concerned. Ideally, these plans have been developed by the local authorities in consultation with local stakeholders. Any time this is the case, the priorities for Dutch support can be identified through dialogue with the 'owners' of the policy plans. If necessary, a meeting can be convened at which the government and local stakeholders, in consultation with the Netherlands embassy and Nuffic, identify priorities for the NPT effort. It depends on the specific situation whether such a meeting is convened by Nuffic or the Embassy.

The identification process results in specification of the areas towards which efforts will be directed and the organizations that can receive support from the NPT.

Nuffic indicates the amount of funding available for offering support in the priority areas identified in each country.

Matching demand and supply

The projects involve cooperation between organizations in developing countries and organizations in the Netherlands that can deliver the required expertise. The Dutch organization can form a consortium that includes partners in the Netherlands and other countries which possess expertise which is particularly relevant to the needs of the Southern organization. Neither the organizations in the developing countries nor the Dutch and other organizations that cooperate with them have to be higher education institutions. Other types of organizations are also eligible. To ensure that supply and demand are matched in a transparent and objective way, a tender procedure is used. Nuffic decides on the maximum budget that is made available for a given project.

The organizations in the South are responsible for drafting the Project Outlines which form the basis of the Calls for Tender. Nuffic facilitates this process and can call in independent local or regional experts. Nuffic publishes the calls for tender in the Netherlands.

Prospective Dutch providers of the required services are invited to submit project proposals based on the calls for tender. A tender evaluation committee (TEC), in which the Southern partner organization has a vote, chooses the Dutch provider. At the end of the tendering process (in principle consisting of one round), grants are awarded on the basis of an overall score that takes into account both content and price.

Award of the grant and approval of the final project plan

After the selection of the Dutch partner, Nuffic gives a grant to one or both partners for the implementation of the project. A short inception period may follow. During this period the partner organizations jointly formulate a final project plan. The final project plan, which includes an implementation plan for the first year and an indicative plan for the remaining years, is submitted to Nuffic for approval.

Land	Uganda
Titel van project	Strengthening ICT Training and Research Capacity in the Four Public Universities in Uganda
Indienende organisatie	Makerere University Faculty of Computing and Information Technology (CIT)
Outline versie	5 (volgnummer of finale versie)
Opgesteld door	ON
Beschakingsvorm	Trip
Maximum bedrag	5.700.000

1) Beoordeling Project Outline

Duidelijkheid van de outline	Uitwerking					Toelichting
	Goed	Matig	Slecht	Ontbreekt	N.v.t.	
Probleemstelling	X					Is een follow-up op NPT-UGA-032/068
Te behalen resultaten	X					Is aangepast
Aanpak	X					
Benodigde inputs	X					Is aangepast
Duur	X					
Logical framework	X					Is aangepast
Begroting	X					Is aangepast

Doelgerichtheid van het project

Interne logica en consistentie van outline	X					
Haalbaarheid van het voorgestelde	X					
Efficiëntie van de voorgestelde aanpak en middelen	X					

Ontwikkelingsrelevantie

Aansluiting op nationale noden en prioriteiten	X					Er bestaat een duidelijke verband tussen het project en het nationale ICT beleid als motor voor ontwikkeling en armoedebestrijding. Steun voor dit project is uitgesproken door de Ugandese overheid voornamelijk de Office of the President en alle relevante ministeries (Ministry of Planning and Economic Development, Ministry ICT, Ministry of Education). De Nederlandse ambassade in Kampala ondersteunt ook het project.
Bijdrage aan capaciteitsopbouw	X					Een kerntak van het Centre of Excellence zal gericht zijn op het verhelpen van de noden in termen van geschoolde ICT staf voor zowel de overheid als de privé sector. En dit komt bovenop de reguliere outputs van de betrokken ICT afdelingen.

Kansen op duurzaamheid van project	X					Project zal ervoor zorgen dat alles dat is/wordt opgezet zich gaat bedruipen na deze fase. Vandaar ook de geleidelijk afnemende financiële steun vanuit het NPT budget om de financiering van terugkerende operationele activiteiten sluitend te krijgen. Project zal mogelijkheden creëren om fondsen te kunnen generen zodanig dat alles volledig lokaal kan worden bekostigd na 4 jaar.
Garantie op duurzame effecten	X					

Sterke en zwakke punten

Voornaamste kwaliteit van de outline	Kwalitatief goed opgesteld voorstel. Heldere doelstellingen en verwachte outputs. Aanpak is goed doordacht.
Belangrijkste tekortkoming van de outline	Ambitie moest in toom worden gehouden na overleg tussen Nuffic en HMA. Indieners wilden Busitema University (een nieuwe publiek universiteit in oprichting) bij project betrekken. Qua visie was daar niets op aan te merken maar dat had ten koste kunnen gaan van de beheersbaarheid van het project. Bovendien paste dat niet helemaal bij dat idee van consolideren van eerste fase waarbij slechts 4 universiteiten betrokken waren.

Oordeel		Toelichting, eventuele condities voor aanbesteding
Go=geschikt voor aanbesteding	X	
No go=wordt niet verder behandeld		

Akkoord sectiehoofd:

2 NPT – Beoordeling 'Organizational Capacity'

Staflid	Criterium	Voldoende?	Opmerkingen
	Project implementatie		
PM	Staf, faciliteiten	Ja	Referentie is NPT-UGA-032/068: prima
FM	Financiële draagkracht	Ja	Idem
PM	Organisatorische capaciteit	Ja	Idem
PM	Management	Ja	Idem
	Financieel beheer		
FM	Staf, faciliteiten		Zie COCA voorgaande project UGA 32/38
FM	AO en IC		Zie COCA voorgaande project UGA 32/38
FM	Financieel management		Zie COCA voorgaande project UGA 32/38
FM	MIS		Zie COCA voorgaande project UGA 32/38
FM	Eigen bankrekening		Zie COCA voorgaande project UGA 32/38
	Randvoorwaarden		
FM	Autonomie		Zie COCA voorgaande project UGA 32/38
FM	Import		Zie COCA voorgaande project UGA 32/38
FM	Int. fin. transacties		Zie COCA voorgaande project UGA 32/38
	<i>Algeheel oordeel/conclusie over beschikkingsvorm</i>	Het project UGA 32/38 had een tripartite beschikking en leverde geen problemen op. Daarom geen bezwaar tegen wederom een tripartiete beschikking .	
	<i>Voorwaarden in beschikking</i>		

Gezien door Senior FM:

3) Akkoordering publicatie

(lichtgrijze cellen in te vullen door sectiehoofd)

a) Goedkeuring van de finale outline

		Ja	Nee	Datum
Akkoord Sectiehoofd SWP	Beoordelingsformulier outline aanwezig			
Akkoord Zuidelijke partner	Bewijs aanwezig			
Akkoord Lokale autoriteiten	Bewijs aanwezig			

b) beschikkingsvorm

	Aanwezig		Compleet		Opmerkingen PM/FM(eventueel verzoek of accord ZP toevoegen) Referentie is NPT-UGA- 032/068
	Ja	nee	Ja	nee	
Beoordelingsformulier capaciteit uitvoerende partner	nvt	nvt			
Gekozen beschikkingsvorm	X				
Beschikkingsvorm genoemd in outline	X				

c) Publicatie outline:

	Aanwezig		Compleet		Opmerkingen PM/FM
	Ja	nee	ja	Nee	
Tender document	X				
Publicatietekst Nuffic website	X				
Publicatietekst EVD website	X				

d) TEC

	Compleet		akkoord		Opmerkingen PM/FM
	Ja	Nee			
Samenstelling TEC		X			

Voor accoord 1+2+3:	Initialen	Datum	Paraaf
(Senior) Programmamedewerker	ON		
Financieel medewerker	AvD		
Senior Financieel medewerker	AL		
Hoofd CP	RS		

Opmerkingen Hoofd CP	
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NPT – Beoordelingsformulier ‘Organizational Capacity’

Project nummer	NPT/UGA/032
Project titel	ICT training capacity
Dossier nummer	
Naam van de organisatie	Makerere University

Stafflid	Criterium	Voldoende?	Opmerkingen
	Project implementatie		
PM	Staf, faciliteiten	Ja	Makarere heeft verzocht om een tripartiete beschikking.
FM	Financiële draagkracht	Ja	Wij hebben de volgende stappen ondernomen om te beoordelen of Makarere aan de minimale eisen voldoet voor een tripartiete beschikking
PM	Organisatorische capaciteit	Ja	- Contact gezocht met HMA in Uganda Zij gaven voorkeur aan tripartiete [redacted]
PM	Management	Ja	- Audit rapporten opgevraagd van Makarere Universiteit en een Norad project Hieruit blijkt dat het goedkeurende verklaringen zijn. Overige opmerkingen hebben in ieder geval geen betrekking op de afdeling die het project gaat uitvoeren
			- COCA-lijst ontvangen van HMA inzake ander project, gefinancierd door externe donoren aan Makarere universiteit. Deze checklist geeft aan dat de universiteit “financially sound” is en dat er functiescheiding bestaat tussen budgetbeheer en administratie. Tevens is er een interne auditor aanwezig
	Financieel beheer		
FM	Staf, faciliteiten	Ja	
FM	AO en IC	Ja	
FM	Financieel management	Ja	
FM	MIS	Ja	
FM	Eigen bankrekening	Ja	
	Randvoorwaarden		
FM	Autonomie	Ja	
FM	Import	Ja	
FM	Int. fin. transacties	Ja	

	Algemeel oordeel	Voldoende
	Voorwaarden bij contractsluiting	Geen expliciete voorwaarde. Wel moeten wij als Nuffic zoveel mogelijk begeleiden dat er een goede projectadministratie wordt opgezet die voldoet aan de NPT-richtlijnen.

Datum: 19-2-2004 Paraaf programmamedewerker: [redacted]
 Datum: 19-2-2004 Paraaf financieel medewerker: [redacted]
 Datum: 24-6-2004 Paraaf senior financieel medewerker: [redacted]
 Datum: Voor accoord, hoofd NPT:

COCA List

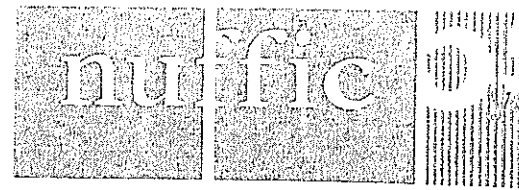
No	IOM element	Points of attention	Assessment
1	Legal Framework	Makerere University is a government institution, legally registered.	
2	External factors	<p>The university is supposed to be 'independent' and has private programs for economic sustainability. However, government has not yet given it full autonomy under the existing Law despite efforts for reform.</p> <p>It is also influenced by the socio-economic factors in the country, such as limited income for families, therefore students face difficulty in paying school fees, a rise of new universities leading to decline in market share, effects of AIDS on staff and students and issues of globalisation</p>	
3	External relations/actors	<p>NORAD, SIDA/SAREC, Carnegie Corporation are supporting the Women's World's 2002. They have financially contributed to 1/2 of the budget. Civil Society organisations are actively participating on sharing their experiences. NGOs are in the process of compiling the reports.</p>	
4	Impact	<p>Through panel discussions, workshops and exhibitions, the delegates will be able to share and learn better ways to make gender equality a reality. The information on gender and development will continue to be in their daily lives and a network for information sharing will be established.</p>	
	Internal Analysis		
5	Mission	<p>Makerere University; Provide quality teaching, carry out research and offer professional services to meet the changing needs of society by utilizing world wide and internally generated human resources, information and technology to enhance the university's leading position in Uganda and beyond</p> <p>Department of Women and Gender Studies; To contribute to development in Uganda through</p>	

		ensuring that the gender component is an integral part of the development process	
6	Strategy	Yes, the organization has a strategy (document attached) and the above mentioned mission has been operationalised through training, research, documentation as a contribution to the international gender debate. The goals, activities are linked with human and financial resources over a period of five years (2000 - 2005)	
7	Outputs/ results	<p>Since the Department of Women and Gender Studies was established in 1991, it has achieved the following:</p> <ul style="list-style-type: none"> ➤ Trained over 200 students at Master level as well as conducting other training courses. ➤ Published over 25 dissertations of MA dissertations into working papers with the support form Sida/SAREC. ➤ Conducted short courses on gender and development practice locally both local and at regional level. ➤ Imitated and spearheaded the gender mainstreaming process at the university, which has resulted in the establishment of the gender unit under the academic registrars office. ➤ The department has been instrumental in the development of the female sponsorship program at Makerere university, which is currently being supported by Carnegie Corporation of New York. (Source: verbal interview) This reveals that most of the documents are outdated or are in the process of being compiled. 	
8	Inputs	<p>The university and the department has very high concentration and large number of staff in various fields enabling Makerere to offer expertise in many areas to meet societal needs through teaching research and extension services. Currently, the department lacks enough space and equipment, there are 8 computers and one seven year old van.</p> <p>However, the Department has a new building with one Lecture Hall of a capacity of 300 students, four lecture rooms each with a capacity of 100 students and four seminar rooms, among other rooms, such as offices. The building,</p>	

		<p>funded by NORAD and the University will be opened during the Congress. This building will be available for usage during the congress.</p> <p>In order to reinforce the capacity of the existing Women and Gender Studies Department to effectively manage and organise the Congress, a secretariat has been established (see structure below).</p> <p>The Congress has been strategically planned to take place in July, when the university students will be on holiday. This implies that the e whole university, of a capacity of 30, 000 students will be available for the congress. The main hall, lecture halls and halls of residence will be at the disposal of the delegates.</p>	
9	Structure	<p>The Department of Women and Gender Studies is governed and situated within the university governing structures and systems. It falls under the faculty of Social Sciences which reports directly to the university Senate and Central Administration.</p> <p>The Department is headed by Dr. Grace Bantebya (Phd) and has a teaching staff of 14, ten of whom are full time and four are part time. The Department has ten support staff.</p> <p>A Congress Secretariat headed by a Coordinator, and supported by a Data-base Assistant, Secretary, Accounts Assistant and six volunteers are attached to the various Congress Committees.</p>	
10	Systems	<p>The Department has various committees to oversee the implementation of the activities. For example, it has the Finance committee, comprised of the Head, a Senior Lecturer and the University Bursar. These are the signatories of the departmental account. All financial transactions are subjected to the University (and external) financial monitoring and evaluation procedures. All procurement is done by the University's procurement unit (ref: Makerere University Financial Management Control Instructions and Accounting Procedures).</p> <p>The Department has set up a Congress Secretariat, headed by The Accounts Assistant</p>	

		has a basic accounts system in place but reports to the University Finance and Accounts Department. The University has clear management systems in place as reflected in the financial document attached.	
11	Staff performance and motivation	<p>The university and the department has very high concentration and large number of staff in various fields enabling Makerere to offer expertise in many areas to meet societal needs through teaching research and extension services.</p> <p>Staff of the Department are assessed every year by the University to ensure quality service delivery.</p> <p>Capacity building is one of the goals of the University and the department in particular so as to respond to emerging staffing needs</p>	
12	Management style and capacity	<p>It is difficult to assess management style from documents or talking to the Head of Department. Only a close working relationship for a period of time can reveal this. However, the capacity of financial and human resources and facilities at the Department and Secretariat is adequate and is able to organise and manage the Congress.</p> <p>International Resource persons have been solicited to facilitate during the Congress and in collaboration with the Ministry of Gender, Labour and Social Development and Civil Society in Uganda, the department will be able to fulfill the Congress objectives.</p>	
13	Culture	The culture is generally open, progressive and competitive, therefore, conducive for effective service delivery.	
14	Financial capacity and feasibility	The university and the Department are financially sound with funding from Government, private students and development partners including NORAD, Sida/SAREC (special project), Carnegie Corporation (special project), IDRC, and others (Ref; Department Strategic Plan)	
15	Accountability	The department has an accounting officer (University Secretary) who accounts to the University according to the Budget lines. The accounts are audited and signed by the university finance officer who is the Bursar. The Bursar certifies that the money has been spent according	

		<p>to the budget lines. All expenditure has to be approved and signed by the finance officer (Bursar).</p> <p>All accounts are audited by the University Auditor (internal and external).</p> <p>A narrative and financial report will be submitted after the completion of the activity. The Bursar also approves all expenditures.</p> <p>The Vice Chancellor signs the memorandum of understanding with the funding body</p>	
16	Overall assessment organizational capacity	The institution is capable of implementing the project, carry out monitoring and evaluation and providing accountability at the end of the project.	



3

[Redacted]
[Redacted]
Makerere University
PO Box 7062
Kampala, UGANDA

[Redacted]
[Redacted]
University of Groningen
PO Box 72
9700 AB Groningen

Contact	Your reference	Our reference	Date
[Redacted] [Redacted]		C&B/CP-ad 3557/07	11 June 2007

Subject: Netherlands Programme for the Institutional Strengthening of Post-Secondary Education and Training Capacity (NPT)
Decision to award a grant
Project name: NPT/MAK/RUG/Strengthening ICT Training and Research Capacity in the four public universities in Uganda
Project numbers: UGA/238 (Party A) and UGA/245 (Party B)
Grant number: CF 4143

I am pleased to inform you that Makerere University, further called Party A, and University of Groningen, further called party B have been awarded a grant for the project entitled 'Strengthening ICT Training and Research Capacity in the four public universities in Uganda', as described in your project proposal dated 10 May 2007. The maximum amount of the grant is EUR 5,699,414. The period of the grant will be from 01 June 2007 to 31 May 2011.

We ask that in all future correspondence with us, you refer to the project numbers UGA/238 (for Party A) and UGA/245 (for Party B).

Acceptance of the grant carries the following obligations with it:

1. The activities made possible by the grant will be conducted under joined responsibility of Party A and Party B and in the way as described in the project proposal (Annex I), the budget (Annex II) and the financial guidelines for NPT projects (Annex III). Any changes made to the objectives or the planned outputs of the project require an in advance written permission of Nuffic.
2. The following specific obligations are attached to acceptance of this particular grant:
 - A. The organisational structure of the project should be revised. A steering committee appointed from the participating universities should oversee project operations.

- B. Sustainability of deliverables in the project should be planned for in the inception phase. Joint degree programmes should be explored as part of sustainability.
 - C. During the selection process of PhD students, measures should be put into place to ensure that after the first year; at least 40% of the PhD students enrolled in NL are female.
 - D. Eindhoven University of Technology (TUE) is entering a consortium from a weaker point with just three experts highlighted. During the inception phase possibilities of involving more staff from TUE in PhD supervision and other activities should be explored. Thereafter the CVs of these staff should be appended to the inception phase report.
3. An inception phase with a maximum duration of 3 months is included in the project. The inception period should result in a revised project document containing a revised log-frame, a detailed plan of operations, and a detailed budget for each project party for the calendar year 2008. The revised project document needs to be signed by both implementing partners and submitted to Nuffic for approval before 15 October 2007.

4. *Grant period:*

For the first grant period (until the end of the first calendar year) for which you are being given a grant, activities will be conducted on the basis of the plan of activities, budget and cash prognosis that was submitted with the request for a grant. In case a revised project document must be submitted, this will be the basis for the first year's activities

For the second and following calendar years, the Parties will jointly submit a plan of activities, budget and cash prognosis for the coming year, at least thirteen weeks before the start of the next calendar year. The plan and the budget for the new calendar year must be agreed upon (and signed) by both implementing partners, and must be approved by Nuffic. If Nuffic has a good reason for not giving its approval, the advance payment can be withheld or the grant can be withdrawn.

5. *Reports:*

Within three months after the end of every calendar year, and in case of the final report within three months after the end of the project, the Parties will jointly submit to Nuffic a report on that year, which includes audited statements of expenditure. The report and statements of expenditures are signed by the signatories of the Parties. The report should meet the requirements stated in the Guidelines for Reports, which are attached to this document as Annex V.

The report will be treated as a request for confirmation of the exact amount of the grant for the period or year in question.

6. *Payments:*

The grant money will be transferred in the form of advance payments. The first advance payment will be EUR 800.000 for Party A and EUR 200.000 for Party B. The rules regarding (further) advanced payments are stated in the financial guidelines for NPT projects (Annex III). The first advance payment will be made within 60 days after the date of this grant letter.

Further payments will be made only if Nuffic has received the required report(s) and an audited statement of expenditure. Both parties are responsible for submitting their own audited statement of expenditure. Other factors affecting Nuffic's decision to make a payment are the progress being made in the project, the anticipated cash-flow situation for the period ahead, and other advance payments that have been made.

The last payment is made after the definitive amount of the grant has been determined.

7. If Nuffic is of the opinion that Dutch government policy would be violated by funding a particular activity because that activity has not taken place as agreed or because circumstances have changed, Nuffic will get in touch with you. On the basis of this communication, Nuffic can issue written instructions regarding implementation of the work plan.
8. Any equipment and/or goods which Party B budgeted and purchased in connection with the project becomes the property of Party A the moment that Party A receives them. All equipment and /or goods purchased from the project budget will be held at the exclusive disposal of the project.
9. As regards any materials produced entirely or in part with the help of the grant, all intellectual property rights—including copyright—belong to Party A. However, Party A will not make any claims on third parties who wish to make use of these materials as long as their reason for doing so is related to development cooperation.
10. Nuffic can withdraw or amend its award of this grant, or demand the return of all or part of any sum it has already transferred, under several conditions: if the Parties fail to fulfil the obligations associated with the grant or fail to fulfil them on time; if money is spent for a purpose other than the one for which it was intended; or if a third party also contributed to the activity in question but Nuffic was not aware of this when it awarded the grant.
11. You are not allowed to offer or give to a third party—nor to solicit or accept from a third party—any gift, reward, compensation or benefit of any kind in a way that could be construed as illegal or corrupt. Any such practice could be a reason for Nuffic to withdraw all or part of the grant.
12. Nuffic can decide to withdraw or change the grant, but only after discussing the matter with you. A financial balance sheet that takes into account correct disbursements and a reasonable estimate of future expenditure based on commitments made within the context of the grant will then be drawn up.
13. Nuffic reserves the right to conduct (or to appoint a third, independent party to conduct) an investigation into the activities carried out in the context of the project. Among other things, this means examining the reports and financial records. The grant recipient will cooperate fully with such an investigation and will grant access to any documents that are requested.
14. In accordance with Article 5:4 of the Foreign Affairs (Grant) Framework Act (*Kaderwet Subsidies Ministerie van Buitenlandse Zaken*), an objection filed against this decision to award a grant results in its temporary suspension.

15. This grant is regulated by the relevant clauses of the General Administrative Law Act (*Algemene Wet Bestuursrecht*), and the law and regulations governing subsidies from the Ministry of Foreign Affairs (*Kaderwet Subsidies Ministerie van Buitenlandse Zaken* and *Subsidieregeling Ministerie van Buitenlandse Zaken*).

On behalf of the Board of Nuffic,

On behalf of the Minister for Development Cooperation,



18/6

Annexes

Annex I	Project Proposal
Annex II	Budget
Annex III	Financial Guidelines for NPT Grants
(Annex IV)	not applicable)
Annex V	Guidelines for reports
Annex VI	Audit guidelines
Annex VII	Sample request for an advance payment
Annex VIII	Financial rules and regulations

Within six weeks of the date on which this decision was sent, a written objection can be filed with the President of Nuffic (PO Box 29777, 2502 LT The Hague). As required by the General Administrative Law Act (Algemene Wet Bestuursrecht), the objection must be signed and must include at least the following: the name and address of the person submitting the objection, the date, a description of the decision or document that is the subject of the objection, and the reasons for the objection. If possible, a copy of the decision or document should be attached

NPT Grants - Annex III

FINANCIAL GUIDELINES FOR NPT GRANTS

1. Introduction

These financial guidelines contain the regulations that define the financial and reporting obligations for the grant recipient(s) in projects.

2. Administration

The grant recipient(s) will be responsible for setting up and managing a properly organized project administration which includes a system of internal control, allows for efficient implementation, is open to external control, and results in a lawful use of resources.

This means that the grant recipient(s) must keep orderly records, from which it can be established that:

- expenditure is taking place in accordance with the grant decision;
- expenditure is being recorded under the proper headings;
- expenditure is not in breach of legal regulations that apply in the country where the project is being conducted;
- expenditure, including actual time spent, is identifiable and verifiable and can be backed up with original documents presented as supporting evidence. A time registration procedure or system should be used.

3. Grant recipient(s)'s bank account, interest and petty cash

- For this project, the grant recipient(s) should open a separate EURO account which (if possible) earns interest.
- A transparent administration of petty-cash transactions should be kept.
- Any interest earned on the account should be administered separately. Interest that remains after bank costs have been deducted should be added to project funds.

4. Project budget

The grant recipient(s) will draw up a project budget that is consistent with:

- the tender;
- the guidelines for drawing up a budget;
- the rules and regulations of the NPT programme.

4.1 Guidelines for drawing up a budget

- In the budget, the relationship between outputs, activities, and the required inputs in terms of personnel and materials should be explicit.

- The rates and fees used in all subsequent budgets may not exceed the amounts indicated in the budget of the Tender Document ¹.
- All budgets will be in euros (EUR).
- All budgets should state the amounts by calendar year.

For projects lasting more than 12 months the following additional guidelines apply:

- Each year, at least thirteen weeks before the start of the next calendar year, a detailed budget for the next year must be submitted to Nuffic.
- This "next year budget", should be based on the plan of activities for the next year.
- The budget for subsequent years can be more global, offering financial estimates at output and activity level.
- This budget will be the basis for the amount that will be made available for the next year.
- This budget should be presented in the same format as the original budget that was agreed upon.

5. Financial reporting

5.1 Statement of expenditure

- Any expenses claimed should be based on actual time spent and actual costs, and be in compliance with the approved budget and the financial rules and regulations for the NPT programme (Annex VIII). Any discounts on purchased equipment, materials, airline tickets etcetera, should be refunded to the project.
- The grant recipient(s) is (are) responsible for submitting, within three months after the end of the project, a final statement of expenditure
- *If the grant has been awarded to both of the collaborating partners ("tripartite grant")*: Both parties are responsible for supplying their own statement of expenses

For projects lasting more than 12 months the following additional guideline applies:

- The grant recipient(s) is (are) responsible for submitting an annual statement of expenditure before 31 March of each year.

5.2 Audit report

- The grant recipient(s) is (are) responsible for submitting an auditor's report on the (annual and/or final) statement of expenditure. The statement of expenditure and the auditor's report should be submitted together.
- The procedure for this report is laid down in the Audit Guidelines (Annex VI). *In case the grant is awarded to both the partner in the South and the Dutch partner (tripartite grant)*: Both organizations submit their own auditor's report directly to Nuffic
- *In case the grant is awarded to one of both collaborating partners (bipartite grant)*:
The grant recipient may decide whether
 - a) that it bases its financial statements and audit report on original invoices submitted by the project

I. If the rates and fees used to calculate the price of the winning tender are different from those prescribed for the NPT programme, the organization that submitted the tender should supply a list of these rates and fees. This list will become an annex to the grant.

partner,
or

b). it wants an auditors statement for the expenses incurred by the project partner. In this case the Grant recipient's auditor has to combine both audits into a single report that covers all project expenditures for the year in question..

6. Remuneration

6.1 Advance payments

For projects lasting not more than 12 months the following guidelines apply:

- Within 60 days after the date of the grant letter, Nuffic will supply an advance payment to the amount mentioned in the grant award letter.
- Six months after the start of the project, an additional advance payment can be requested for the remainder of the project. This request for an additional advance payment should be submitted using the standard format. The maximum amount that can be transferred as advance payment is 80% of the budget.

For projects lasting more than 12 months the following guidelines apply:

- The maximum amount that can be transferred in the form of advance payments for a given year is 80% of that year's budget:
 - Payment first year: Within 60 days after the date of the grant letter, Nuffic will supply a first advance payment to the amount mentioned in the grant award letter.
 - Payments following years:
 - After agreement on the detailed budget for a given year, an advance payment of at least 40% of that year's budget will be paid before the end of January of that year.
 - As of the 1st of June an additional advance payment can be requested for the remainder of the year. This request for an additional advance payment should be submitted using the standard format. The maximum amount that can be requested at this time is 40% of the budget for the year in question.

The following regulations apply to both long and short term projects:

- If a grant recipient fails to fulfil its reporting obligations, further payments will be suspended.
- *If the grant has been awarded to only one of the collaborating partners:* if the grant recipient fails to submit the contract with the counterpart organization, further payments will be suspended.
- At the end of the project period, any funds that have not been spent should immediately be returned to Nuffic.

6.2 Settling the account

- The account will be settled after Nuffic has received the annual statement of expenditure accompanied by an auditor's report.
- Expenditures exceeding the available project budget will not be reimbursed.
- If in a certain year the amount of received advance payments exceeds the amount of expenditures in that year, the difference will be deducted from the advance payment for the next year.

The Netherlands Programme for the Institutional Strengthening of Post-secondary Education and Training Capacity (NPT)

- If Nuffic, after consultation with the grant recipient(s), is of the opinion that some of the funds have been spent improperly - that is, not in accordance with the terms under which the funds were made available, or not in accordance with the financial guidelines - Nuffic can decide to readjust the financial contribution.

NPT Grants - Annex V¹

GUIDELINES FOR REPORTS

The monitoring and evaluation of NPT activities are based primarily on the approved project proposal, in which the project partners have indicated the results and effects they hope to achieve.

The project partners themselves define the indicators which will be used to assess project performance. These indicators are an integral part of the 'logical framework', which consists of a description—in measurable terms—of the project objectives, inputs, expected effects, planned results, and the relationships between all of these.

The logical framework can be adapted as necessary during the course of project implementation. This means that the framework itself is also an instrument for internal monitoring and evaluation.

Point of departure for the monitoring of project progress are the objectives and outputs which the implementers aim to achieve through implementation of the project and which are laid down in the approved project document.

The Grant Award indicates how often reports must be submitted. For convenience's sake, Nuffic makes available a template for reporting. Implementers may use their own format for reporting.

Annual reports:

The Annual report should incorporate the following topics:

- Description of the achievements in the reporting period in relation to the project objectives, intended effects and planned output(s).
- Description of changes in the project context that have an influence on the implementation of the project.
- Analysis of bottlenecks which have occurred in the implementation of the project and the measures which have been/will be taken to remove the bottlenecks.
- Assessment of the progress in project implementation (with an emphasis on the level of success in achieving planned outputs).
- Assessment of the actual relevance of the objectives and the possible need to adjust them.
- Description of the measures that are being taken to ensure the sustainability of the project outputs.

Fill out the sustainability barometer.

- Statement of expenditures. This statement of expenditure has to be accompanied by an auditor's report.

The annual report and statement of expenditures are to be submitted to Nuffic before the 31st of March of the year following the year to which the report applies.

Nuffic assesses the project's implementation on the basis of criteria related to efficiency and sustainability. Are resources and time being used efficiently in order to achieve the objectives? What are the project partners doing to ensure that the project results will be sustainable? And were the reports satisfactory and submitted on time?

Final report:

If the report is a final report, the report should focus on the following:

- Description of the achievements in the total project period in relation to the project objectives, intended effects and planned output(s). Assess the relevance of the outputs (impact of the project achievements on the development of the counterpart institute and the target sector). The report should

¹ Refer to the section on Monitoring & Evaluation on the NPT website (www.nuffic.nl/npt) for up-to-date information and instruments.

give quantitative and qualitative information as input of the NPT Programme Logical Framework (see M&E page of www.nuffic.nl/npt).

- Assessment of the project approach (strategy) on effectiveness and efficiency (the relationship between the resource allocation and activities and the achieved objectives). Comment also on the risk assumptions made at the start.
- Description of changes in the project context that have had an influence on the implementation of the project.
- Analysis of bottlenecks which have occurred in the implementation of the project and the measures which have been taken to remove the bottlenecks.
- Sustainability. Describe the measures that have been taken and measures still to be taken to ensure the sustainability of the project outputs. Describe how project outputs will be continued, institutionalised and financed. Describe the factors that will have influence on sustainability. Fill out the sustainability barometer.
- Name, if applicable, the spin-offs/ success stories.
- Formulation of lessons learned and recommendations for the post-project period.

The final report should also include:

- A statement of expenditures. This statement of expenditure has to be accompanied by an auditor's report.
- An inventory of equipment purchased and a document signed by the Dutch consortium and southern partner on the transfer of ownership, (as annex).

The final report is to be submitted within 3 months after the end of the project.

All report should be signed by the signatories of the lead cooperating organizations on both side.

NPT Grants - Annex VI

AUDIT GUIDELINES

1. Introduction

The decision to award a grant for an NPT project requires that the Grant recipient(s) prepare(s) an annual statement of expenditures and supply an auditor's report on that statement.

- *In case the grant is awarded to both the partner in the South and the Dutch partner (tripartite grant):*
Both organizations submit their own auditor's report directly to Nuffic

- *In case the grant is awarded to one of both collaborating partners (bipartite grant):*

The grant recipient may decide whether

- a) that it bases its financial statements and audit report on original invoices submitted by the project partner, or
- b). it wants an auditors statement for the expenses incurred by the project partner. In this case the Grant recipient's auditor has to combine both audits into a single report that covers all project expenditures for the year in question..

Unless specified otherwise, the following guidelines apply to all audits that take place within the context of an NPT project.

2. Audit objectives

2.1 The audit should be carried out in accordance with generally accepted auditing standards (ISA).

2.2 The auditor is asked to do the following:

2.2.1 To give an opinion on the statement of expenditures, in particular to assess its accuracy and the legitimacy of the expenditures in all material respects. Expenditures will be regarded as legitimate if:

- they comply with the conditions of the project agreement and with the NPT financial rules and rates, and the NPT financial guidelines, both of which are attached as annexes to the project agreement;
- they have been recorded properly under headings that are the same as those in the approved budget;
- they are not in breach of legal regulations.

2.2.2 To evaluate the organization's own system of internal control with respect to the NPT project, to estimate the audit risk, and to identify any matters worthy of mention, including any material weaknesses in the internal control system.

2.2.3 To conduct tests to determine whether the organization has complied, in all material respects, with the conditions of the agreement and with the rules and regulations that apply to the project. Any material incidence of non-compliance or any indication of an illegal practice should be reported.

3. Scope of the audit

3.1 Preparing for the audit

3.1.1 The auditor should review the following documents to become familiar with the project:

- the Grant letter
- the agreement between the Grant recipient and its project implementing partner;
- the project document, the budget, and any other relevant correspondence with Nuffic regarding the project;

- reports on the progress and financial situation of the project;
- description of the project's organizational structure and procedures, and the procedures for procuring, receiving, storing and distributing project goods.

3.1.2 The auditor should examine the findings and recommendations of previous audits to see whether these have been followed up. Has the organization taken corrective action where necessary? Is a change required in the auditing approach?

3.2 Drafting the audit plan.

The auditor should draw up an audit plan which includes an examination of the structure and functioning of the system of internal control, an analytical review and substantive testing.

3.3 Implementation

3.3.1 The auditor should audit the statement of expenditures on the basis of the audit plan, and then write an auditor's report.

3.3.2 The auditor should ensure that the findings recorded in the audit report are accompanied by sufficient relevant evidence and by a description of the auditing procedures that were used.

The steps described here in this section are not exhaustive or restrictive and may not impose any limitations on the exercise of the auditor's professional judgement.

4. Auditor's report

The auditor's report should state clearly the auditor's opinion of the statement of expenditures.

The following text should be used:

To: [Appropriate addressee]

We have audited the accompanying statement of income and expenditures under the *Netherlands Programme for the Institutional Strengthening of Post-secondary Education and Training Capacity (NPT)* of [name of entity], [statutory seat] for the period [period] in respect of NPT Grant CF-[CF number]. The statement has been prepared by management of [entity].

Management's responsibility

Management is responsible for the preparation of the statement in accordance with the requirements set out in the Grant CF-[CF number] dated [date]. Furthermore, management is responsible for such internal control as it determines is necessary to enable the preparation of the statement that is free from material misstatement, whether due to fraud or error.

Auditor's responsibility

Our responsibility is to express an opinion on the statement based on our audit. We conducted our audit in accordance with International Standards on Auditing and the audit guidelines as mentioned in the NPT grant conditions. This requires that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the statement is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the statement. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the statement, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation of the statement in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. An audit also includes evaluating the appropriateness of accounting policies used.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the statement of expenditure of [entity] of a total amount of eligible costs of € [amount] over the period [period] is prepared, in all material respects, in accordance with NPT Grant conditions.

Restriction on use and distribution

The statement is prepared for the entity to comply with the requirements as set out in the NPT grant. As a result, the statement may not be suitable for another purpose. Our auditor's report is solely intended for Nuffic and should not be distributed to or used by others than Nuffic.

Place and date

Name audit firm

(name auditor RA)

5. Review

Nuffic reserves the right to have the audit reviewed. The auditor concerned will fully cooperate with this review, supplying the reviewer with all relevant documentation related to the audit.

6. Audit files

The audit firm must keep an orderly and accessible audit file for the project. This file should be kept for ten years from the date the audit was completed. All working papers and reports should be in the English language.

7. Choice of audit firm

By the organization in the South

The audit must be conducted by an independent external auditor who is acceptable to both Nuffic and the organization in question. In principle, the organization in the South is advised to choose a local office of one of the international accounting firms. If a firm not related to an international accounting firm is chosen, this must be communicated to Nuffic in advance.

By the organization in the Netherlands

The audit can be conducted by the organization's usual auditor: that is to say, an independent external auditor commissioned by the organization to audit the annual accounts. The audit may not be conducted, however, by the organization's own internal audit department.

NPT Grants - Annex VII

SAMPLE REQUEST FOR AN ADVANCE PAYMENT

To:

Nuffic
 Department for Human Resource
 and Institutional Development
 P.O. Box 29777
 2502 LT The Hague
 The Netherlands

With reference to the NPT Grant mentioned below, and the liquidity forecast provided below,
 I herewith request payment to the amount of EUR: -

Liquidity report and forecast			
Previous period	date - date		
Opening Balance in bank account per	date		amount (a)
Received from nuffic		amount	
interest		<u>amount</u>	
total received			- (b)
Expenses in previous period			amount (c)
Balance in bank account per	date	=====	- (d = a+b-/-c)
expected expenses in next period	date - date		amount (e)
need for cash			- (f = e -/- d)
Rounded (to thousands)			<input type="text" value="-"/>

Please remit the amount to the bank account no. mentioned below

Other information	
Name of project:	
Project Number:	
Grant Number:	
Organisation:	
Contact person:	
Bank:	
Branch and branch code:	
Bank account no.:	
type of account:	
in the name of:	
reference:	
Signature	
Name:	
Date:	

This format is an Excel file and can be downloaded from the NPT website <http://www.nuffic.nl/npt>

NPT Grants – Annex VIII

FINANCIAL RULES AND REGULATIONS

The NPT will cover only eligible costs. These are specified in detail below. To be eligible for reimbursement, costs must be real and documented, and they cannot take the form of a lump sum unless this is specified in the following paragraphs. Any discounts on purchased equipment, materials, airline tickets etcetera, should be refunded to the project.

A. General rules regarding cost eligibility etcetera

1. The organizations must make sure that all the expenditures presented in the budget are needed for the project and are clearly related to the project activities.
2. The costs must be incurred within the period of the Grant.
3. The following costs are never covered and should therefore not be included in any part of the project budget:
 - Salaries and fees charged for the time which staff of the Southern organization spends on the project. The only exception is the time for project management and administration (see paragraph 7).
 - The cost of replacing staff members of the organizations who are working on the project.

B. Principles for specific types of costs

1. **Short missions by staff of the organization in the Netherlands**

Staff time

A short mission is a posting of less than six months. The actual time spent on a mission, which includes both preparation and the time spent in the recipient country, can be claimed at the rates indicated in the *List of fees*.

Subsistence allowance

The costs of hotel and breakfast in a middle-class hotel will be reimbursed. An allowance of EUR 50 a day will be paid to cover the other costs of daily subsistence.

Travel

The costs of a return-trip airline ticket will be reimbursed. The flight should be by the most direct route between the place of destination and the airport nearest the person's place of residence.

Travel costs to and from the airport in the Netherlands will be reimbursed up to the amount of a first-class train ticket. Travel costs incurred for project activities abroad will be reimbursed.

Please note:

Costs incurred during preparation will not be reimbursed. These include even such unavoidable costs as the fees charged for vaccinations, a medical check-up, a visa and passport photos, as well as insurance premiums and the purchase of clothing and equipment. The rates charged for the staff member's time take these costs into account.

2. **Long missions by staff of the organization in the Netherlands**

A long mission is a posting of six months or longer. The inputs that can be budgeted and amounts that can be reimbursed are limited to the inputs and amounts that are set out in the regulations of the Ministry of Foreign Affairs for long missions

The current rules can be obtained from Nuffic on request.

The maximum fees for long missions can be found in column C of the *List of fees*.

3. The time spent by Dutch staff on other project-related activities in the Netherlands

The actual time spent in the Netherlands on activities related to the project can be claimed at the rates indicated in the *List of fees*.

4. Acquisitions and investments

In principle, the costs of equipment, infrastructure and other investments may not exceed 20 per cent of a total NPT project budget. The proposed investments should be specified in a detailed investment budget and the reasons for each proposed investment should be stated clearly. Procurement procedures should follow the local rules and regulations.

Value-added tax (VAT) paid for goods acquired in the Netherlands or another country of the EU and destined for export will be reimbursed by the tax office, on request, at the time of export. Such goods should therefore be listed on the project budget without VAT.

Costs directly related to acquisitions and other investments, such as the costs of transport, insurance and installation, may also be included.

5. Visits to the Netherlands or another country

All costs related to the visits of persons from the organization in the South for management purposes or for taking a course or receiving training can be charged to the project.

Short visit: a visit lasting one month or less.

Long visit: a visit lasting longer than one month

The following rules apply:

Short visit:

International travel:

The costs of a return trip will be reimbursed (including the airline ticket, airport tax and the visa). The flight should be by the most direct route between the place of destination and the airport nearest the person's place of residence (please note that the airline ticket may not be exchanged for cash).

Travel in the country of destination:

The costs of local travel in connection with the purpose of the visit will be reimbursed. Visitors are expected to travel by bus, tram and/or train. Travel by taxi will be reimbursed only if other options were not available.

Accommodation and subsistence:

Visitors' employers are expected to continue to pay visitors' salaries during their absence. The NPT covers visitors' costs while they are in the Netherlands or the other country being visited. The costs of a middle-class hotel and breakfast are reimbursed, and each visitor receives an allowance of EUR 50 a day, which is meant to cover any additional subsistence costs.

Tuition fees

The budget should list any tuition fees. These will be based on the standard fee used in the Netherlands Fellowship Programme, if applicable. Otherwise the fees will be either as listed in the database of International Study Programmes and Courses, (to be found at website www.studyin.nl) or calculated specifically for the course in question.

Insurance

The actual amount that must be paid for insurance should be listed.

Please note: If a visitor is joined by family members, these extra costs cannot be charged to the project.

Long visit:

For these visits, *the rules and regulations for fellowships of the Netherlands Fellowship Programmes* apply. These can be downloaded from the Nuffic-NFP-NPT website. The main budget items are:

International travel:

The costs of a return trip will be reimbursed (including the airline ticket, airport tax and the visa costs). The flight should be by the most direct route between the place of destination and the airport nearest the person's place of residence (please note that the airline ticket may not be exchanged for cash).

Travel in the country of destination:

The costs of local travel in connection with the purpose of the visit will be reimbursed as follows:

Travel from Schiphol Airport to the destination and back. The actual cost of travel by public transport will be reimbursed.

Daily travel between the visitor's lodgings and the regular training site if lodging and training site are in different municipalities. The actual cost of travel by public transport will be reimbursed.

Occasional travel related to the training programme. The actual cost of travel by public transport will be reimbursed.

Accommodation and subsistence:

Visitors' employers are expected to continue to pay visitors' salaries during their absence. The NPT pays an allowance meant to cover visitors' costs, including accommodation, while they are in the Netherlands or the other country being visited. The allowance is considered enough for one person to live on. In the Netherlands the allowance is EUR 870 a month. For PhD students a monthly allowance of EUR 1,075 is applicable. The monthly allowance in other countries is determined with the help of the Dutch embassy in that country, but can not be more than 50% of the subsistence allowance in the Netherlands.

Please note:

The amount of EUR 870 and EUR 1,075 are based on the assumption that a month's rent will not exceed EUR 348. If housing cannot be found for this price, the extra amount for rent will be added to the allowance. Nuffic's approval must be obtained in advance.

Personal Allowance

For each staff member of the Southern organization who receives training or takes a course in the Netherlands for longer than one month, a one time allowance of 250 may be budgeted. This is meant for covering unexpected expenses at the beginning of the person's stay (settling in costs) and for sending extra luggage. It is paid as a single lump sum together with the first month's allowance.

Study Materials

A one time allowance of EUR 280 is paid, meant for ensuring availability of the study literature needed. This can be paid to the fellow or be used by the provider to acquire study literature for the fellow.

Tuition fees

The budget should list any tuition fees. These will be based on the standard fee used in the Netherlands Fellowship Programmes, if applicable. Otherwise the fees will be either as listed in the database of International Study Programmes and Courses, (to be found at website www.studyin.nl), or calculated specifically for the course in question.

Insurance

The actual amount that must be paid for insurance should be listed.

Please note:

If a visitor is joined by family members, these extra costs cannot be charged to the project.

6. Local and regional experts and consultants

The costs of enlisting the services of independent local and regional experts and consultants for specific purposes will be covered by the NPT (such persons may not be employed by the Southern organization). Remuneration is based on the amount of time spent by the expert or consultant. The consulting fee should be consistent with what the person would normally charge for his or her services in the country in question. Nuffic can call on the Dutch embassy for advice if it has any doubts.

7. Project management and general administrative costs

The partner organization in the Netherlands

The actual amount of time spent on project coordination can be budgeted at the rates indicated in the *List of fees*. There should be no separate charges for general administrative support, secretarial services etc. This is considered to be overhead, and these costs are included in the rates charged for project coordination.

Costs of language training cannot be charged to the project.

The partner organization in the South

The general costs of administration and the time that staff members spend on project management, administration and secretarial activities, will be paid to a maximum amount of 6% of the total project budget, not counting 'project management' or 'contingencies'.

General administrative costs are costs that are not specific to a particular project activity, such as communications (telephone, fax, mail, messenger service, etc.), office supplies, photocopying and other operational costs as transportation, insurances etc. These are considered to be included under the heading 'general administrative costs'.

Please note that all expenses claimed should be based on actual time spent and actual costs, and have to be specified in the statements of expenses. (See also annex III, section 5.1) A further elaboration on this budget item can be found on the NPT website, in the FAQ section.

8. Costs of auditing

- The costs for the annual audit must be included in the project budget. These should be based on the fees actually charged.
- Audit costs can be charged to the project only after it is apparent that the organization has indeed fulfilled its obligation to submit financial statements and an audit report.
- If the amount of expenditure for a given year did not exceed EUR 30,000.--, an external audit will not be performed for that year. This expenditure should be audited alongside the figures for the next year. The final year of a project always requires an audit report, regardless of the amount of expenditure.

C. Principles for other types of costs

Costs that do not fall under the categories mentioned above can be included in the budget on the following conditions:

1. They must be sufficiently specified.
2. If the present guidelines do not contain any specific rules or rates that would apply, the rules and rates that apply in general to the organization and/or country in question should be used.
3. If an organization uses its own departments to perform services (such as production, printing, distribution, translation, etc.), the fees charged should be consistent with the organization's own regulations and should not exceed what the organization would normally charge internally.

D. Contingencies

The budget for contingencies is set at five per cent. Contingencies are extra costs that cannot be avoided if the project is to achieve its objectives. As the name suggests, these extra costs could not be anticipated when the budget was drafted. They are caused by such things as accidents and extreme price fluctuations. The item 'contingencies' is not meant for new activities and may only be used after written approval from Nuffic.

E. List of fees

Fees paid to employees of Dutch organizations (amounts are in euros)

Salary Group	A	B	C1	C2
1.362,00 > 1.589,00	197	153	119	130
1.589,00 > 1.816,00	228	176	137	150
1.816,00 > 2.043,00	258	200	156	169
2.043,00 > 2.270,00	289	223	174	189
2.270,00 > 2.497,00	319	247	192	209
2.497,00 > 2.724,00	349	270	210	229
2.724,00 > 2.951,00	380	294	229	249
2.951,00 > 3.178,00	410	318	247	269
3.178,00 > 3.405,00	440	341	265	289
3.405,00 > 3.632,00	471	365	284	309
3.632,00 > 3.859,00	501	388	302	329
3.859,00 > 4.086,00	532	412	320	349
4.086,00 > 4.313,00	562	435	338	369
4.313,00 > 4.540,00	592	459	357	389
4.540,00 > 4.767,00	623	482	370	402
4.767,00 > 4.994,00	653	506	381	414
4.994,00 > 5.221,00	684	529	393	425
5.221,00 > 5.448,00	714	553	404	437
5.448,00 > 5.675,00	744	576	416	448
5.675,00 > 5.902,00	761	588	427	459
5.902,00 > 6.129,00	777	599	438	471
6.129,00 > 6.356,00	793	611	450	482
6.356,00 > 6.583,00	809	622	461	494
6.583,00 > 6.810,00	825	634	473	505
6.810,00 > 7.037,00	841	645	484	517
7.037,00 > 7.264,00	857	656	496	528
7.264,00 > 7.491,00	873	668	507	539
7.491,00 > 7.718,00	889	679	518	551
7.718,00 > 7.945,00	905	691	530	562
7.945,00 > 8.172,00	921	702	541	574
8.172,00 > 8.291,00	933	711	550	582

- A For a workday in the Netherlands (5-day week)
 B For a day spent on a short mission (7-day week)
 C1 For a day spent on a long mission (7-day week)
 C2 For a day spent on a long mission (7-day week) in case the experts partner and children remain in the Netherlands

For definitions of short and long-term missions, see Financial rules and regulations above. The tariffs apply to all experts that are employees of the consortium that was described in the project proposal.

External experts

- If external experts are hired that are employee of an organization, the tariffs as mentioned above apply. In this case a 10% handling fee can be added (but does not have to be).
Please note: Handling fee can not be added for experts who are employee of an organization that is part of the consortium that was described in the project proposal. These are not considered external to the project.
- If external experts are hired that are not employee of an organization ("free lance experts") the actual fee paid to them will be reimbursed. In this case a 15% handling fee can be added (but does not have to be).

F. Suggested format for the tender budget

The applicant organizations may use their own budget formats as long as these clearly show the relationships between outputs, inputs and costs. To make it easier to compare competing tenders, however, it is recommended that applicant organizations use the suggested budget format. The budget of the first calendar year (or project period if the total duration is less than one calendar year) should describe outputs, activities and inputs in terms of personnel and materials in detail. For the following calendar years of the project, a description of outputs, activities and budget estimates will suffice.

Sample of a tender budget (in calendar years)

NIEP budget

Project name:

Project outputs <i>YEAR 1</i>	Activity	Inputs <i>(name/purpose/other expenses)</i>	Budget		
			Quantity <i>(days/other input)</i>	fee/price	Total budget
			<i>(a)</i>	<i>(b)</i>	<i>(c = a x b)</i>
OUTPUT 1 New curriculum is in place	discussions at the faculty/department level workshops with curriculum experts	<i>Description of inputs:</i>			
		<i>Dutch staff's time</i>	14		
		<i>local consultant's time</i>	20		
	workshops with various stakeholders (relevance) implementation of workshop recommendations	<i>international travel</i>	2		
		<i>local travel</i>	2		
		<i>DSA</i>	13		
	<i>accommodation</i>				
	<i>catering</i>				
	<i>documentation</i>	30			
	<i>translation</i>				
	<i>participants' travel</i>	25			
visits to the Netherlands for module development 2 persons, 7 days		<i>travel</i>	2		
		<i>DSA</i>	14		
		<i>Dutch staff's time</i>	4		
		<i>other</i>			
visit to the South for module development and implementation 1 person, 14 days		<i>travel</i>	1		
		<i>DSA</i>	14		
		<i>Dutch staff's time</i>	14		
preparation and printing of lecture notes		<i>Dutch staff's time</i>	15		
		<i>translation</i>			
		<i>printing</i>			
OUTPUT 2 Improvement in the profile of 8 members of staff	2 staff members pursuing master's degrees	<i>Dutch staff's time</i>	4		
		<i>tuition fee</i>	2		
		<i>international travel</i>	5		
	3 staff members pursuing PhDs (in the region)	<i>local travel</i>	5		
		<i>DSA</i>	15		
		<i>tuition fee</i>	3		
	<i>living allowance (months)</i>	36			
	<i>books</i>	3			
OUTPUT 3 Academic registration system is in place	evaluation of functional requirements; design and specification of required infrastructure; tender procedures				
Project management on the Dutch side Project management on the Southern side: 6% Contingencies: 5%					
Total for Year 1					

The Netherlands Programme for the Institutional Strengthening of Post-secondary Education and Training Capacity (NPT)

Project outputs YEAR 2	Activities	Estimated amounts	budget		
			Quantity (days/other input) (a)	fee/price (b)	Total budget (c = a x b)
OUTPUT 1 New curriculum is in place	approval of curriculum preparation and printing of lecture notes	estimate of total amount:			
	acquisition of teaching and reading materials for staff	estimate of total amount:			
	acquisition of books and journals (a minimum starting kit) for students	estimate of total amount:			
OUTPUT 2 Improvement in the profiles of 8 members of staff	3 staff members pursuing PhDs	estimate of total amount:			
	short refresher courses for remaining staff	estimate of total amount:			
	participation of staff members in international symposia and conferences staff members' presentation of papers during international symposia/conferences	estimate of total amount:			
OUTPUT 3 Academic registration system is in place	procurement of hardware and software	estimate of total amount:			
	installation of hardware and installation and testing of software	estimate of total amount:			
	database construction	estimate of total amount:			
	design of organizational framework and authorization procedures training of end-users	estimate of total amount:			
OUTPUT 4 An operational unit for generating income is in place	development of a single, comprehensive business plan; development of policies for financial management; definition of roles and responsibilities	estimate of total amount:			
	acquisition and execution of income-generating activities				
Project management on the Dutch side Project management on the Southern side Contingencies		Total amount			
		6%			
		5%			
Total for Year 2					

Subtotals by category

	Subtotals
1 Input Dutch and regional staff	0
2 Visits Southern staff	0
3 Training/workshops	0
4 Investments	0
5 Operational Costs	0
Totals	0

1 including travel, DSA etc

2 Travel, DSA for non-training missions

Vergaderjaar 2011–2012

32 605

Beleid ten aanzien van ontwikkelingssamenwerking

Nr. 97

BRIEF VAN DE STAATSSECRETARIS VAN BUITENLANDSE ZAKEN

Aan de Voorzitter van de Tweede Kamer der Staten-Generaal

Den Haag, 1 juni 2012

Met de Kamer is afgesproken dat ik u informeer over mogelijk misbruik van Nederlandse fondsen voor ontwikkelingssamenwerking. Recent zijn berichten in de media verschenen met beschuldigingen over fraude met Nederlandse ontwikkelingsfondsen op de Makerere Universiteit in Uganda. Het zou gaan om fondsen uit het *Netherlands Programme for the Institutional Strengthening of Post Secondary Education and Training Capacity* (NPT). Dit programma wordt beheerd door de Nederlandse organisatie voor internationale samenwerking in het hoger onderwijs, Nuffic en draagt hiervoor jegens de Oegandese contractpartij de verantwoordelijkheid. Graag stel ik u op de hoogte van de feitelijke stand van zaken.

Voorafgaand aan de recente beschuldigingen, die zich concentreren op vermeende onrechtmatigheden rondom de nieuwbouw van de ICT-faculteit, werden in januari 2012 beschuldigingen geuit over vermoedens van fraude bij andere onderdelen van het door Nuffic ondersteunde programma aan de Makerere Universiteit. Deze berichten verschenen ook in de Oegandese media.

Kern van de zaak betreft mogelijk misbruik van collegegelden voor PhD-kandidaten voor een bedrag van EUR 160 000 door een hooggeplaatste bestuurder van de Makerere Universiteit in Kampala. Daarnaast zou er sprake zijn van mogelijke malversaties bij de aanschaf van computers en met het budget voor projectbeheer.

Zodra deze berichten bekend werden, heeft de Nederlandse ambassade in Kampala Nuffic onmiddellijk ingelicht. De Nuffic is hierop direct een diepgaand onderzoek gestart om de geuite beschuldigingen te verifiëren. Het Nuffic-onderzoek is echter vertraagd doordat de Oegandese fiscale recherche op basis van de berichtgeving haar eigen onderzoek was gestart en alle relevante documenten in beslag nam. Op verzoek van de

ambassade zijn inmiddels kopieën ter beschikking gesteld aan de Nuffic. Vanwege de geruchten is ook de Auditor-General, de Oegandese overheidsaccountant die jaarlijks de financiële rapportages van de Makerere Universiteit controleert, gestart met een onderzoek om te bekijken of de accountantsverklaring moet worden herroepen. De verwachting is dat de uitkomsten hiervan binnen enkele weken beschikbaar zullen zijn.

Daarnaast meldden Oegandese kranten deze week nieuwe geruchten over mogelijke fraude van EUR 800 000 rond de nieuwbouw van de ICT-faculteit op de universiteit. Hierover is ook door de Nederlandse media gerapporteerd. Deze berichten zijn afkomstig van dezelfde personen die ook de eerdere beschuldigingen uitten. Ik heb bij de Nuffic aangedrongen dit element toe te voegen aan het lopende onderzoek en met urgentie te behandelen.

Zolang het onderzoek loopt kunnen geen conclusies getrokken worden of de beschuldigingen al dan niet op waarheid berusten. Gezien de aanvankelijke onduidelijkheid besloot ik, toen de eerste berichten verschenen, eerst de resultaten van het onderzoek af te wachten en zo meer helderheid te krijgen alvorens uw Kamer te informeren. Gezien de recente berichtgeving is het opportuun u nu op de hoogte te stellen. Mocht inderdaad sprake zijn geweest van misbruik van fondsen, dan zal Nuffic vanzelfsprekend de nodige vervolgstappen zetten (terugvordering, juridische actie, e.d.). Ik zal het Nuffic indien nodig daarop aanspreken. Ik zal de Kamer op de hoogte houden van verdere ontwikkelingen.

De staatssecretaris van Buitenlandse Zaken,
H. P. M. Knapen